

**PATENT** 

Attorney Docket No.: 2003-IP-009957 U1 USA

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Brett Masters, et al.

Serial No.:

10/826,952

Filed:

April 15, 2004

Entitled:

VIBRATION BASED POWER

GENERATOR

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As listed on the accompanying form PTO-SB-08, the Applicants hereby call the examiner's attention to the following information of which they are aware, in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56. Copies of the listed references, other than U.S. patents, are enclosed with this Information Disclosure Statement.

#### U.S. Patents:

3,398,302

3,766,399

6,020,653

3,968,387

## Other Documents:

Blevins, Robert, "Flow induced vibration", Van Nostrand Reinhold Co., N.Y., 1977; Chapters 3 and 4

Jaffe, B., Cook, W. R., Jr., Jaffe, H., "Piezoelectric ceramics", Marietta: R. A. N. Publishers, 1971; Chapters 1, 2 and 12

This Information Disclosure Statement is being filed under the provisions of 37 CFR §1.97(b)(3), which provides for the timely filing of an Information Disclosure Statement before the mailing of a first Office Action on the merits.

Attorney Docket No.: 2003-IP-009957 U1 USA

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Further, the filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

Written notification that the listed documents have been considered in their entirety, by return of a copy of the enclosed form completed by the examiner, is respectfully requested.

Respectfully submitted,

KONNEKER & SMITH, P.C.

Marlin R. Smith

Attorney for Applicants Registration No. 38,310

Dated: July 1, 2004

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Plano, Texas 75074

(972) 516-0030

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

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PTO/SB/08A (08-00)
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Substitute for form 1449A/PTO

JUL 8 7 2004

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	10/826,952			
Filing Date	April 15, 2004			
First Named Inventor	Brett Masters			
Group Art Unit	Unassigned			
Examiner Name	Unassigned			
Attorney Docket Number	2003-IP-009957 U1 USA			

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent I	Cocument Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		3,398,302		Harnau, et al.	08-20-1968	
		3,766,399		Demetrescu	10-16-1973	
		6,020,653		Woodbridge, et al.	02-01-2000	
		3,968,387		Scarff	07-06-1976	
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	FOREIGN PATENT DOCUMENTS								
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Examiner Initials		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	™	
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Examiner	 Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitu	te for form 1449B/	рто		Complete if Known			
				Application Number	10/826,952		
INFO	ORMATIC	DN D	ISCLOSURE	Filing Date	April 15, 2004		
STATEMENT BY APPLICANT				First Named Inventor	Brett Masters		
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	(use as mai	ny sheets	as necessary)	Examiner Name	Unassigned		
Sheet	2	of	2	Attorney Docket Number	2003-IP-009957 U1 USA		

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, joumal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
		Blevins, Robert, "Flow induced vibration", Van Nostrand Reinhold Co., N.Y., 1977; Chapters 3 and 4			
		Jaffe, B., Cook, W. R., Jr., Jaffe, H., "Piezoelectric ceramics", Marietta: R. A. N. Publishers, 1971; Chapters 1, 2 and 12			
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Examiner Signature		Date Considered			

Examiner	Date	-	
Signature	 Considered		

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



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